OEC 0 1 2004 55 SHEET 1 OF 1

| Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE |                       | ATTY DOCKET NO.                                  | DEN ARK                           | SERIAL NO.<br>10/622,689   |   |                        |                               |  |  |  |  |
|--|-----------------------|--|-----------------------------------|--|---|------------------------|-------------------------------|--|--|--|--|
|  |                       |  | APPLICANT                         |  |   |                        |                               |  |  |  |  |
| LIST OF  | REFEF                 | RENCES CITED BY APP                              | PLICANT                           | Shaoxing LU, et al.  |   |                        |                               |  |  |  |  |
|  |                       |  |                                   | FILING DATE  |   | GROUP                  |                               |  |  |  |  |
|  |                       |  |                                   | July 21, 2003  | 1712                                    |                        |                               |  |  |  |  |
|  |                       | -  |                                   | U.S. PATENT DOCUMENTS  |   |                        |                               |  |  |  |  |
| EXAMINER<br>INITIAL  |                       | DOCUMENT<br>NUMBER                               | DATE                              | NAME   | CLASS                                   | SUB<br>CLASS           | FILING DATE<br>IF APPROPRIATE |  |  |  |  |
|  | *                     | 4,822,852  | 04/18/1989                        | Dieter WITTMANN, et al.  |   |                        |                               |  |  |  |  |
| (40  | AB                    | 5,981,680  | 11/09/1999                        | Lenin James PETROFF, et al.  |   |                        |                               |  |  |  |  |
|  | AC                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AD                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | ΑE                    |  |                                   |  |   |                        | ,                             |  |  |  |  |
|  | AF                    |  |                                   |  | 7                                       |                        |                               |  |  |  |  |
|  | AG                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | АН                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | Al                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AJ                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AK                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AL                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AM                    |  |                                   |  |   |                        | -                             |  |  |  |  |
|  | AN                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  |                       |  | FO                                | REIGN PATENT DOCUMENTS   |   | l                      |                               |  |  |  |  |
|  |                       | DOCUMENT<br>NUMBER                               | DATE                              | COUNTRY  |   | TRANSLATION YES NO     |                               |  |  |  |  |
|  | AO                    |  |                                   |  | <u></u>                                 |                        |                               |  |  |  |  |
|  | AP                    |  |                                   |  | ····                                    |                        |                               |  |  |  |  |
|  | AQ                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AR                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AS                    |  |                                   |  |   | Ì                      |                               |  |  |  |  |
|  | AT                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | ΑU                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AV                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  |                       | OTHER RE   | FERENCES (                        | Including Author, Title, Date, Pertine                                     | nt Pages, e                             | tc.)                   |                               |  |  |  |  |
|  | AW                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AX                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AY                    |  |                                   |  |   |                        |                               |  |  |  |  |
|  | AZ                    | [[] N  |                                   | Addi   | Additional References sheet(s) attached |                        |                               |  |  |  |  |
| Examiner   | " Kilwfing            |  |                                   |  |   | Date Considered C/1/05 |                               |  |  |  |  |
| *Examiner: In conformance  | itial if re<br>and no | eference is considered to considered. Include co | whether or not<br>py of this form | citation is in conformance with MPEP with next communication to applicant. | 609; Draw li                            | ne through o           | citation if not in            |  |  |  |  |

|                                       |      | HOV 1 6 TOOK SO         |                                       |  |             | SHE                    | ET 1       | OF                                    | 1    |  |
|---------------------------------------|------|-------------------------|---------------------------------------|--|-------------|------------------------|------------|---------------------------------------|------|--|
|                                       |      | 13                      |                                       |  |             |                        |            |                                       |      |  |
| Form PTO 1449<br>(Mod.fled)           |      | OF GERARTHOUT OF C      | COMMERCE<br>ARK OFFICE                | ATTY DOCKET NO.  |             | SERIAL NO.             |            |                                       |      |  |
| (maximus) FAICH NIO INC.              |      |                         |                                       | 237687US0  |             | 10/622,                | 689        |                                       |      |  |
| LISTOF                                | DEEE | DENICES CITED BY ADDI I | CANT                                  | APPLICANT  |             |                        |            |                                       |      |  |
| LIST OF REFERENCES CITED BY APPLICANT |      |                         |                                       | Shaoxiang LU et al. FILING DATE  |             | GROUP                  |            |                                       |      |  |
|                                       |      |                         |                                       | July 21, 2003  |             | 1712                   |            |                                       |      |  |
|                                       |      |                         |                                       | U.S. PATENT DOCUMENTS  |             | 1712                   |            |                                       |      |  |
| EXAMINER                              |      | DOCUMENT                |                                       | T TOO ONLY TOO ON THE TOTAL THE TOTA | 1           | SUB                    | CII IN     | G DATE                                |      |  |
| INITIAL                               |      | NUMBER                  | DATE                                  | NAME   | CLASS       | CLASS                  | IF APP     |                                       |      |  |
| Lip                                   | AA   | US 2003/0235548 12      | -25-03                                | LU   |             |                        |            | *                                     |      |  |
|                                       | _AB  | US 2004/0001799 01      | 01 04                                 | LU ET AL.  |             |                        |            |                                       | 7    |  |
| RUV                                   | AC   | US 2003/0235553 12      | -25-03                                | LU ET AL.  |             |                        |            |                                       |      |  |
| 11                                    | AD   | US 2004/0126336 07      | -01-04                                | HANSENNE ET AL.  |             |                        |            |                                       |      |  |
|                                       | AE   | US 2003/0235552 12      | -25-03                                | YU   |             |                        |            |                                       |      |  |
|                                       | AF   | US 2003/0072730 04      | -17-03                                | TOURNILHAC   |             |                        |            |                                       |      |  |
|                                       | AG   | US 2003/0170188 09      | -11-03                                | FERRARI ET AL.   |             |                        |            |                                       |      |  |
| 1                                     | AH   | US 2003/0068348 04      | -10-03                                | FERRARI ET AL.   |             |                        |            |                                       |      |  |
|                                       | ·Al  | US 2004/0115154 06      | -17-04                                | YU   |             |                        |            |                                       |      |  |
|                                       | ĀJ   | US 2004/0115153 06      | -17-04                                | YU   |             |                        |            |                                       |      |  |
|                                       | AK   | US 2004/0170586 09      | -02-04                                | FERRARI ET AL.   |             |                        |            |                                       |      |  |
| 1,                                    | AL   | US 2004/0120912 06      | -24-04                                | YU   |             |                        |            |                                       |      |  |
| V                                     | AM   | US 2003/0232030 12      | -18-03                                | LU ET AL.  |             |                        |            |                                       |      |  |
|                                       | _AN_ |                         |                                       |  |             |                        |            |                                       |      |  |
|                                       |      |                         | FO                                    | REIGN PATENT DOCUMENTS   |             |                        |            |                                       |      |  |
|                                       |      | DOCUMENT<br>NUMBER      | DATE                                  | COUNTRY  |             | TRANSLATION            |            |                                       |      |  |
|                                       | AO   |                         |                                       |  |             | YES                    | <u> </u>   | NO                                    |      |  |
| ·                                     | AP   |                         |                                       |  |             |                        |            | · · · · · · · · · · · · · · · · · · · |      |  |
|                                       | AQ   |                         |                                       |  |             |                        |            |                                       |      |  |
|                                       | AR   |                         |                                       |  |             |                        |            |                                       |      |  |
| -                                     | AS   |                         |                                       |  | /           |                        |            |                                       |      |  |
|                                       | AT   |                         | · · · · · · · · · · · · · · · · · · · |  |             | <del> </del>           |            |                                       |      |  |
|                                       | AU   |                         |                                       | /  |             |                        |            |                                       |      |  |
|                                       | AV   |                         |                                       |  | <del></del> | <u> </u>               |            |                                       | -    |  |
|                                       |      | OTHER REFE              | RENCES                                | Including Author, Title, Date, Pertine   | nt Pages, e | tc.)                   |            |                                       |      |  |
|                                       | AW   |                         |                                       |  |             |                        |            |                                       |      |  |
|                                       | AX   |                         |                                       |  |             |                        | •          |                                       |      |  |
| - <u> </u>                            | AY   |                         |                                       |  |             |                        |            | ·                                     |      |  |
|                                       | AZ   | 1// 1/                  |                                       |  | Add         | tional Refe            | rences she | et(s) att                             | ache |  |
| Examiner                              |      | With Pera               |                                       |  |             | Date Considered 6/1/05 |            |                                       |      |  |
|                                       |      | 700                     | <i>Y</i>                              | t citation is in conformance with MPEP   |             |                        |            |                                       |      |  |